

PHYS 6610: Graduate Nuclear and Particle Physics I



THE GEORGE
WASHINGTON
UNIVERSITY
WASHINGTON DC

H. W. Griebhammer

Institute for Nuclear Studies
The George Washington University
Spring 2023



III. Descriptions

2. Perturbative QCD

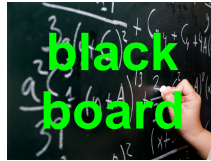
Or: Why we Believe

References: [PRSZR 8.1-3, 14; HM 2.15, 10.3-9, 11.4/6-7; Tho 10.7/8;
Ryd 3, end of 9.6; HG 12.3; PS 16.7; Per 6.5; lots more...]



(a) An Ideal World: QCD With Small Coupling Constant

(b) From Colours to Potentials



(c) Running Coupling & Asymptotic Freedom

QED: [Ryd, end of 9.6]
QCD: [PS 16.7, Per 6.5]



Running Coupling in QCD: Now Known to $\mathcal{O}(\alpha_s^4) \cong 3$ -Loop

$SU(N_c)$ Gauge Theory at LO (1-loop)

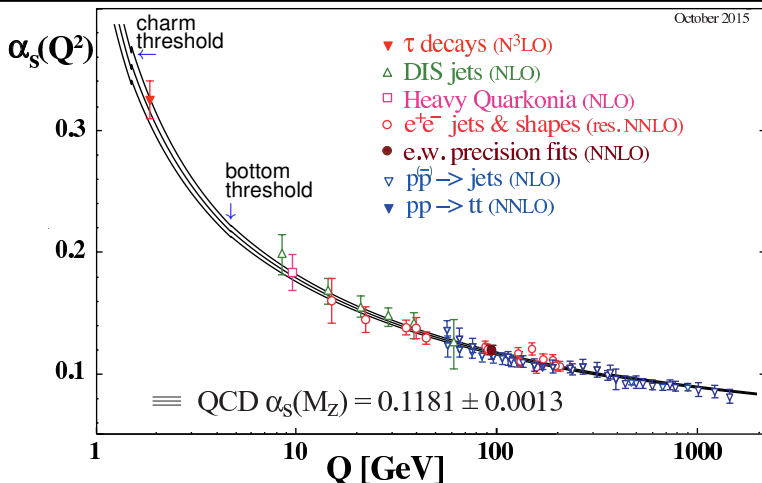
N_f quark flavours with $m_q^2 < q^2$

[Gross, Politzer/Wilczek, 't Hooft 1973]

$$: \alpha_s(q^2) = \frac{4\pi}{[11N_c - 2N_f] \ln(|q^2|/\Lambda_{\text{QCD}}^2)} \quad (\text{for } m_q = 0)$$

Today calculated up to & including $\mathcal{O}(\alpha_s^3)$ relative to LO: horrific diagrams, beautifully agrees with data.

\Rightarrow QCD has one parameter. Data fit: $\alpha_s(M_Z) = 0.1181 \pm 0.0013$ or $\Lambda_{\text{QCD}} \approx 250 \text{ MeV}$.

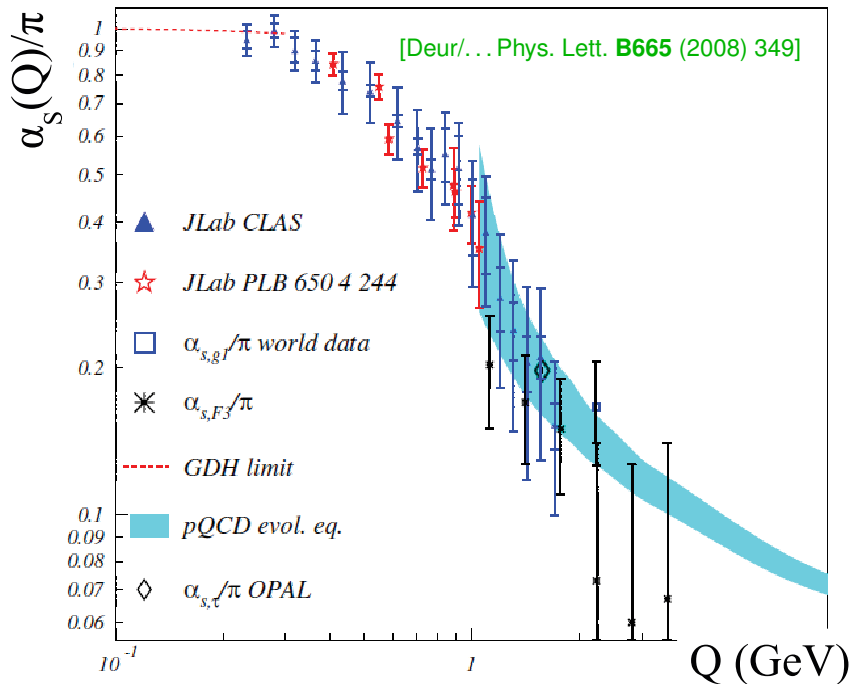


This Confirms:

- perturbative renormalisation procedure
- gauge group is $SU_c(N_c)$, and $N_c = 3$
- flavour $N_f = (uds) + (c) + (b)$ increases like R -factor with $\sqrt{|q^2|}$

The Low- $|q^2|$ Regime: Infrared Slavery

$$\alpha_s(q^2) = \frac{4\pi}{[11N_c - 2N_f] \ln(|q^2|/\Lambda_{\text{QCD}}^2)} + \mathcal{O}(\alpha_s^3)$$



Naïvely apply running \Rightarrow

$\alpha_s > 1$ at $\sqrt{|q^2|} \approx 1\text{GeV}$

\Rightarrow Perturbation theory
breaks down at low $|q^2|$.

\Rightarrow Must resort to
non-perturbative methods!

Infrared Slavery

**offers chance
of confinement.**

Is typical size of

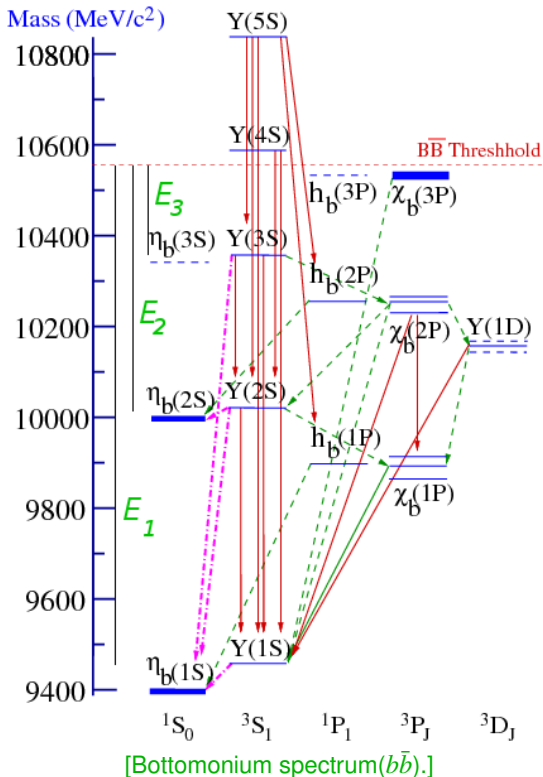
charge-smearing set by

$$\frac{1}{\Lambda_{\text{QCD}} \approx 250\text{MeV}} \approx 1\text{fm?}$$

\Rightarrow Hadron size, confinement?

(d) Quarkonia and Perturbative QCD

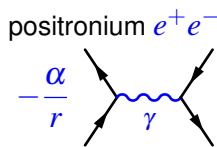
LO QCD $\hat{=} \text{QED}^{N_c^2-1}$ for $\alpha_s(q^2) \ll 1 \implies$ Test on positronium-like $q\bar{q}$ at large $|q^2|$.



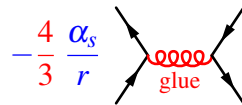
Positronium: H-atom with *reduced mass* $\mu = \frac{m_e}{2}$

pot. $V(r)$

binding E_n



quarkonium $q\bar{q}$



Should work best for heaviest system: **Bottomonium $b\bar{b}$**

\implies If truly Coulombic, then $\frac{E_1 - E_2}{E_2 - E_3} = \frac{1 - \frac{1}{2^2}}{\frac{1}{2^2} - \frac{1}{3^2}} = \frac{27}{5}$.

\implies Long-range part not really Coulombic!

\implies **Add phenomenological QCD String Potential**

$$V(r) = -\frac{4\alpha_s}{3r} + \sigma r$$

String constant $\sigma \approx 1 \frac{\text{GeV}}{\text{fm}} \approx 10^5 \frac{\text{N}}{\text{fm}}$

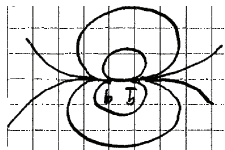
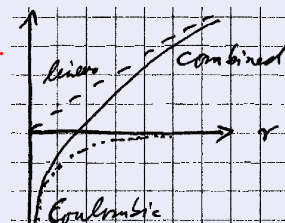
by fit to spectra; seems universal in $b\bar{b}, c\bar{c}$.

Phenomenological Potentials: The QCD String/Flux Tube in $b\bar{b}$

Phenomenological QCD String Potential: $V(r) = -\frac{4\alpha_s}{3r} + \sigma r$

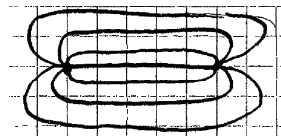
Coulomb + String with **String constant** $\sigma \approx 1 \frac{\text{GeV}}{\text{fm}} \approx 10^5 \frac{\text{N}}{\text{fm}}$.

“Best of all simple modifications.”



Short-distance: Coulombic dominates: $\frac{4\alpha_s}{3} \left[\frac{1}{|\vec{r}-\vec{a}|} - \frac{1}{|\vec{r}+\vec{a}|} \right]$

⇒ Colour-electric dipole between opposite colour charges.



Medium distance: Dipole field gets “squeezed” into **colour-flux tube**, like potential of rubber band/string.

⇒ Energy of states “pushed up” relative to Coulomb.



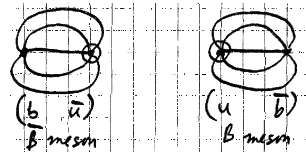
Larger distance: $V(r \rightarrow \infty)$ increases linearly. ⇒ String narrows, infinite energy to isolate quark, consistent with **Confinement Hypothesis**.

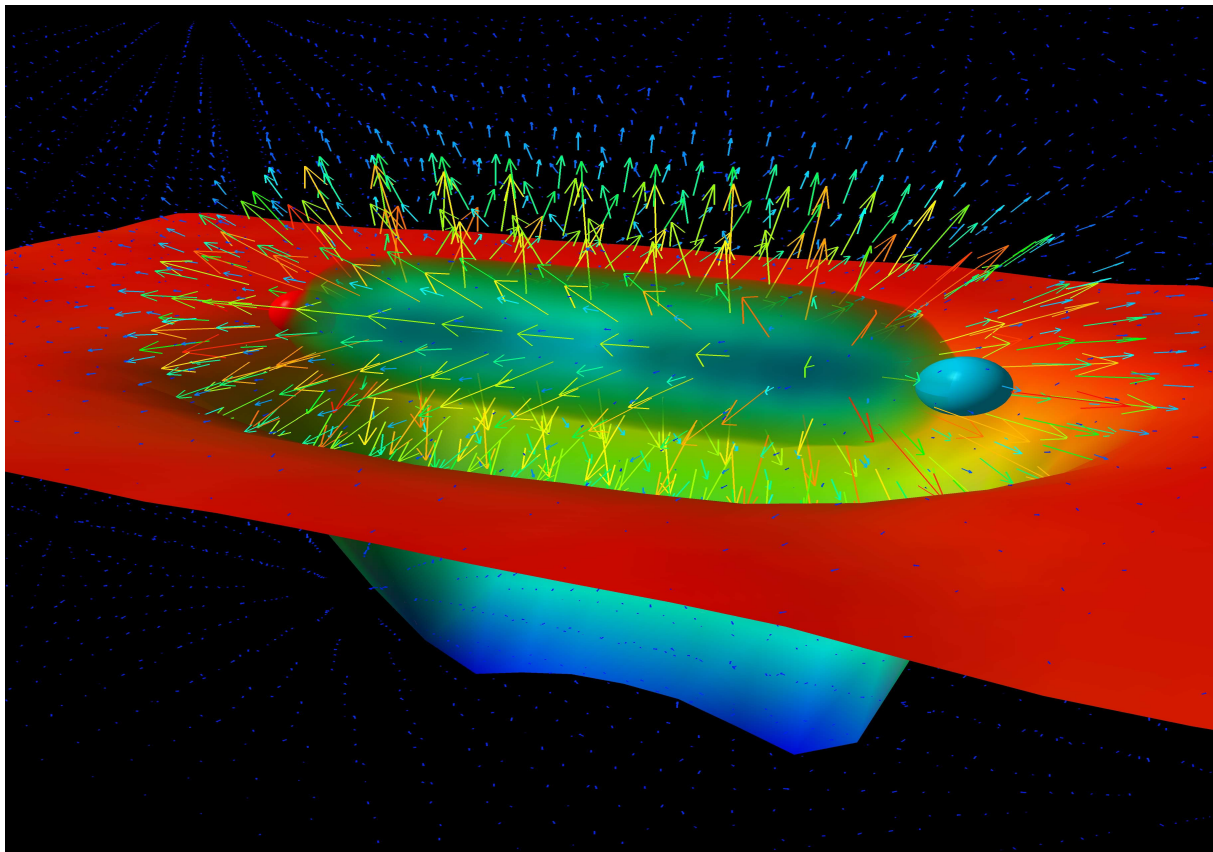
$r \approx 1\text{fm}$: String stores energy $\sigma r \approx 1\text{GeV}$.

⇒ Suffices to produce light $q\bar{q}$ pairs from vacuum and hadronise them:

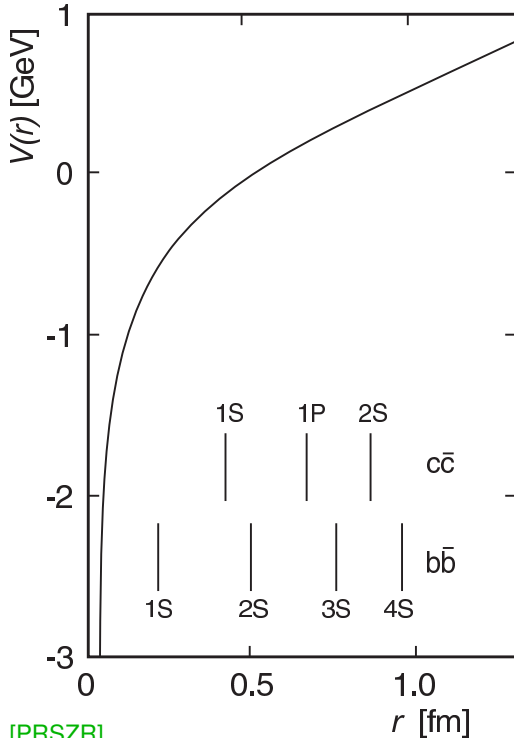
String Breaking at **Hadronisation/Fragmentation Scale 1fm**.

$b\bar{b}(9,400) \rightarrow [(b\bar{u}) + (u\bar{b})] (2 \times 5,300 = 10,600) : \Delta M \approx 1\text{GeV} \checkmark$





Phenomenological Potentials: Constituent Quark Model



[PRSRZ]

- **Take** perturbative QCD results for colour factors etc.
- **Fit** string constant σ , quark (constituent) mass m_q , α_s .
- **Non-relativistic potential** with some retardation effects:

$$\text{HFS } \frac{8\pi\alpha_s \vec{\sigma}_1 \cdot \vec{\sigma}_2}{9 m_q^2} \delta^{(3)}(\vec{r}), \text{ FS } \propto \vec{L} \cdot \vec{S}, \text{ Darwin, Lamb, ...}$$

Results Bottom: $m_b \approx 5\text{GeV}$, $\alpha_s(\Upsilon) \approx 0.2$, $\sigma_\Upsilon \approx 1 \frac{\text{GeV}}{\text{fm}}$

Charm: $m_c \approx 1.5\text{GeV}$, $\alpha_s(J/\psi) \approx 0.25$, $\sigma_{J/\psi} \approx 1 \frac{\text{GeV}}{\text{fm}}$

- **QCD string constant same for b and c : universal**
- Constituent quark masses of b and c slightly larger than their QCD (current quark) masses: **small “dressing”**.
- **Charmonium** less Coulombic; more relativistic; more sensitive to QCD string.
- Confirms perturbative colour factors. $\implies SU(N_c = 3)$.
- **But usually HFS somewhat small, LS somewhat big.**

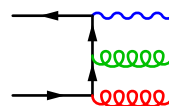
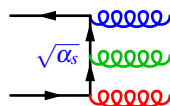
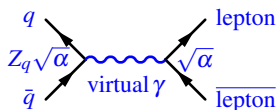
Neglects many relativistic radiative/retardation effects.

QCD-inspired Constituent Quark Model was important to boost confidence in QCD.

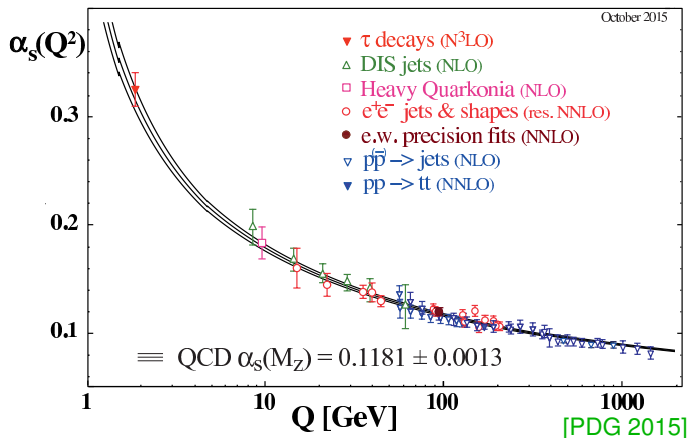
– Now we need to go beyond and do “true” QCD!

Actual QCD: Determine $\alpha_s(q^2)$ in $e^+e^- \rightarrow \gamma^*(J^{PC}=1^{--}) \rightarrow \text{Quarkonium}$

J/ψ and Υ are 3S_1 states: \implies Only decay into odd number of gauge bosons (parity, see HW).



$$\implies \frac{\Gamma[\text{leptons}]}{\Gamma[3\text{jets}]} \propto \frac{(Z_q \alpha)^2}{\alpha_s^3} ; \quad \frac{\Gamma[\text{leptons}]}{\Gamma[\gamma + 2\text{jets}]} \propto \frac{(Z_q \alpha)^2}{Z_q^2 \alpha \alpha_s^2} = \frac{\alpha}{\alpha_s^2} ; \quad \frac{\Gamma[3\text{jets}]}{\Gamma[\gamma + 2\text{jets}]} \propto \frac{\alpha_s^3}{Z_q^2 \alpha \alpha_s^2} = \frac{\alpha_s}{Z_q^2 \alpha}$$



Include QCD corrections to high orders.
Lots of experimental information,
many $b\bar{b}$ states & decays not yet seen.

$$\alpha_s(\Upsilon) = 0.163 \pm 0.016$$

$$\alpha_s(J/\psi) = 0.25 \pm 0.05$$

But only one datum on plot.

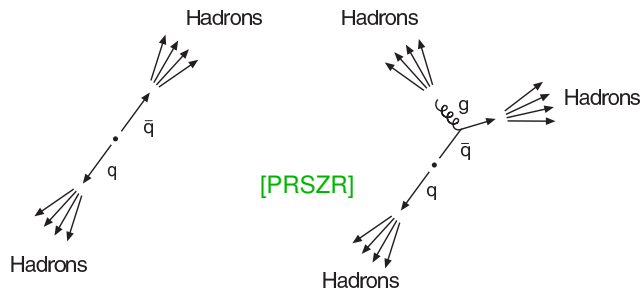
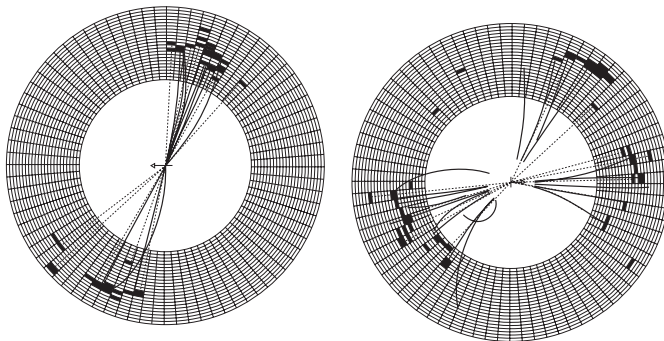
\implies Can do even better.

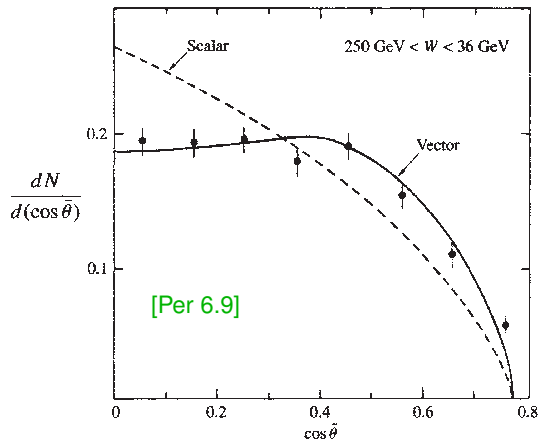
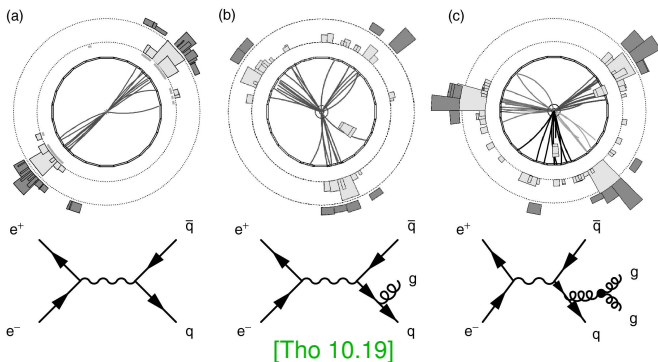
(g) Perturbative QCD Corrections in e^+e^- Annihilation

2 & 3 Jet Events: Evidence of Gluons at Large \sqrt{s}

PETRA 1979

If third jet, its total charge is often **zero**. Ratio $\frac{3 \text{ jets}}{2 \text{ jets}} \simeq \alpha_s(s) < 1$ for large \sqrt{s} .





3-Jet Events: angular distribution tests gluon spin, parity, charge-conjugation: $J^{PC} = 1^{--}$.

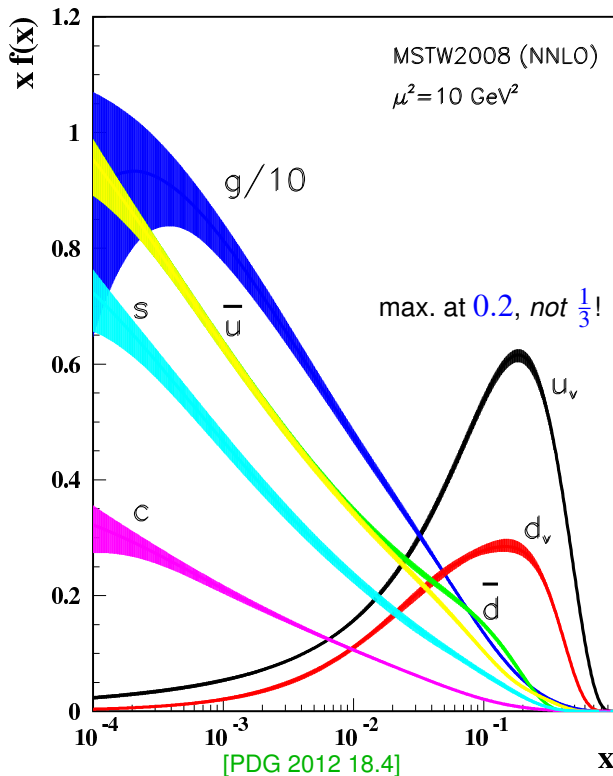
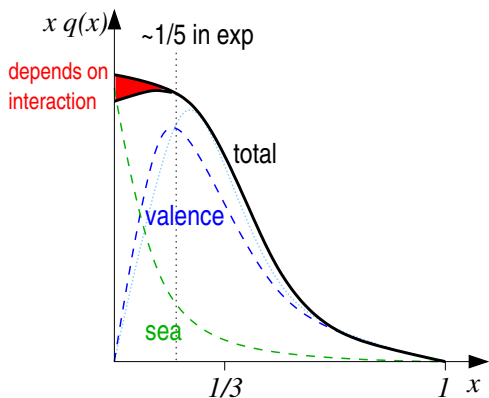
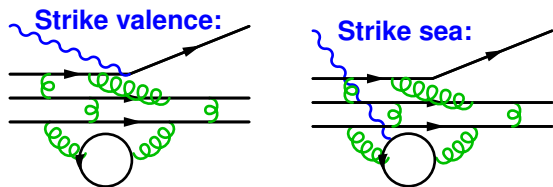
You could calculate this with what we learned.

4-Jet Events: test ggg vertex \iff local $SU(3)$ gauge symmetry.

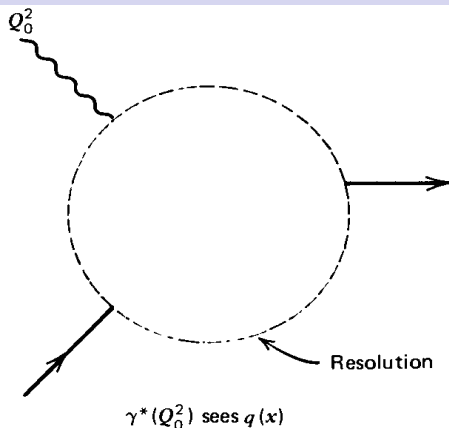
(i) Perturbative QCD in Parton Distribution Functions

Reminder of II.4: PDFs in DIS limit $Q^2 \rightarrow \infty$ depend only on Bjorken- $x = \frac{-q^2}{2p \cdot q} \in [0; 1]$.

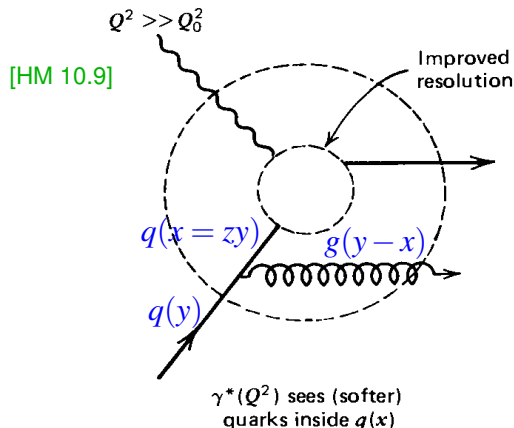
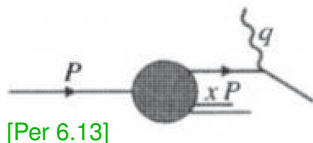
PDFs $q(x)$ smeared by interactions:
Especially the sea-quark distributions depend on details of QCD!



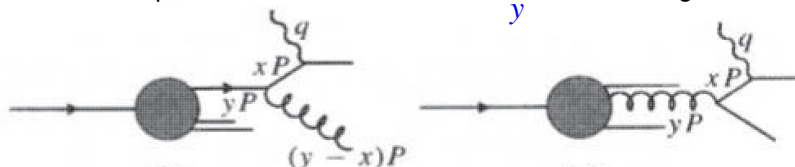
Quark-Gluon Interactions Introduce Q^2 -Dependence: $q(x, Q^2)$



Probability to find quark with mom. fraction $x = \xi$: $q(x, Q_0^2)$



Quark with fraction y emits gluon with mom. fraction $y-x$, so now quark carries x , or fraction $z = \frac{x}{y} < 1$ of its original mom.



Resolution increase can also create new quark with fraction x from gluon with fraction y :

Uncovers previously hidden momentum fraction, now seen by photon: extract $g(x)$!

Change of Resolution: DGLAP-WW integro-differential Evolution Equations use QCD Interactions.

[Dokshitzer/Gribov/Lipatov 1972-5; Altarelli-Parisi 1977; Weizsäcker/Williams 1934 for QED]

QCD Splitting Functions and DGLAP-WW

$P_{B \leftarrow A}(z)$: (prop. to) probability that parton A emits parton B with fraction z of A 's momentum, seen by γ .

Bremsstrahlung: $P_{q \leftarrow q}(z) = P_{g \leftarrow q}(1-z) = \frac{4}{3} \frac{1+z^2}{1-z}$

Gluon Annihilation: $P_{q \leftarrow g}(z) = P_{q \leftarrow g}(1-z) = \frac{1}{2} [z^2 + (1-z)^2]$

Gluon Scattering/Bremsstrahlung: $P_{g \leftarrow g}(z) = 6 \left[\frac{1-z}{z} + \frac{z}{1-z} + z(1-z) \right]$

⇒ **Change of Resolution leads to by DGLAP-WW Evolution Equations:**

$$\frac{\partial}{\partial \ln Q^2} \begin{pmatrix} q_i(x, Q^2) \\ g(x, Q^2) \end{pmatrix} = \frac{\alpha_s(Q^2)}{2\pi} \int_x^1 \frac{dy}{y} \begin{pmatrix} P_{q \leftarrow q} \left(\frac{x}{y} \right) & P_{q \leftarrow g} \left(\frac{x}{y} \right) \\ P_{g \leftarrow q} \left(\frac{x}{y} \right) & P_{g \leftarrow g} \left(\frac{x}{y} \right) \end{pmatrix} \begin{pmatrix} q_i(y, Q^2) \\ g(y, Q^2) \end{pmatrix}$$

Coupled integro-differential equations at LO in $\alpha_s < 1$.

Need *initial condition*: Complete set of PDFs at one value of Q^2 . Rest prediction.

Test running of $\alpha_s(Q^2)$ and QCD Splitting Functions (colour factors, interactions).

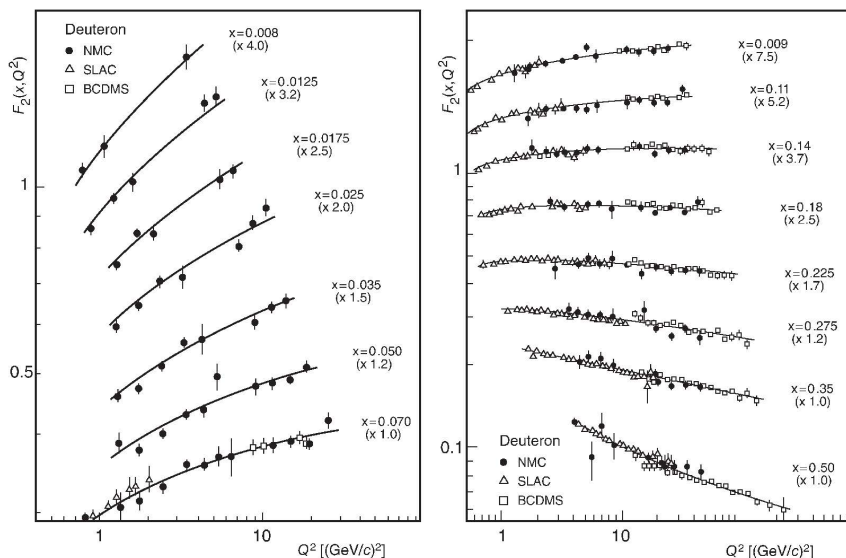
Changes in $g(x, Q^2)$ ricochet into/ties together all quark flavours. ⇒ Find $g(x, Q^2)$.

Splitting functions get large as $z \rightarrow 0$ ⇒ Test with sea-quarks ($x \rightarrow 0$)!

[Dokshitzer/Gribov/Lipatov 1972-5; Altarelli-Parisi 1977; Weizsäcker/Williams 1934 for QED]

Extension to α_s^2 includes $gggg$ interaction.

Parton Distribution Functions: Scaling Violations by QCD



Results:

- Excellent agreement with **QCD**.
- Extract gluon-PDFs & $\alpha_s(Q^2)$.
- $x > 0.2$ (valence dominate):
 $F_2(x = \text{const.}, Q^2) \searrow$ as $Q^2 \nearrow$:
 Gluon radiation sucks momentum from valence quarks, gives to sea.
- $x < 0.2$ (sea & glue dominate):
 $F_2(x = \text{const.}, Q^2) \nearrow$ as $Q^2 \nearrow$.
- Lattice **QCD** starts to solve for PDFs.
 \implies Provides initial condition & evolution into confinement region $\alpha_s \geq 1$ beyond perturbation theory.

Fig. 8.5. Structure function F_2 of the deuteron as a function of Q^2 at different values of x on a logarithmic scale. The results shown are from muon scattering at CERN (NMC and BCDMS collaboration) [Am92a, Be90b] and from electron scattering at SLAC [Wh92]. For clarity, the data at the various values of x are multiplied by constant factors. The solid line is a QCG fit, taking into account the theoretically predicted scaling violation. The gluon distribution and the strong coupling constant are free parameters here.

[PRSZR]

Next: 3. Lattice QCD

Familiarise yourself with: [(Path Integral: Ryd 5; Sakurai: Modern QM 2.5); CL 10.5; PDG 18; Wagner [[arXiv:1310.1760](https://arxiv.org/abs/1310.1760)] [hep-lat]]; Alexandru, Lee, Freeman, Lujan, Guo;...]