

PHYS 6610: Graduate Nuclear and Particle Physics I

H. W. Griebhammer

Institute for Nuclear Studies
The George Washington University

Spring 2023



THE GEORGE
WASHINGTON
UNIVERSITY
WASHINGTON DC



II. Phenomena

4. Deep Inelastic Scattering and Partons

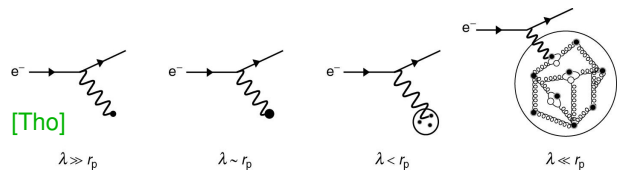
Or: Fundamental Constituents at Last

References: [HM 9; PRSZR 7.2, 8.1/4-5; HG 6.8-10]

(a) Inelastic Scattering \rightarrow Deep Inelastic Scattering DIS

Breit/Brick-Wall Frame: no energy transfer $E - E' = 0$; momentum transfer maximal $\vec{p}'_{\text{Breit}} = -\vec{p}_{\text{Breit}}$.

Probe wave length $\lambda_{\text{Breit}} \sim \frac{1}{\sqrt{Q^2}} \Rightarrow$ **Dissipate energy and momentum into small volume** λ_{Breit}^3 .



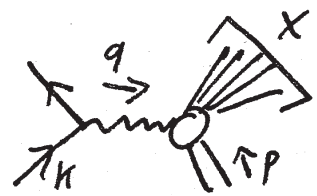
Now $Q^2 \gtrsim (3.5\text{GeV})^2 \sim (0.07\text{fm})^{-2} \gg r_N^{-2}$:
 Energy cannot dissipate into whole N in $\Delta t \sim \frac{\lambda}{c}$
 \Rightarrow Shoot hole into N , breakup dominates.

Deep Inelastic Scattering DIS $N(e^\pm, e')X$: inclusive, i.e. all outgoing summed.

Now characterised by
2 independent variables
 out of $(\theta, q^2 = -Q^2,$
 $E'_{\text{lab}}, \nu, W, x)$

Lorentz-Invariant: $\nu = \frac{p \cdot q}{M} = E_{\text{lab}} - E'_{\text{lab}} > 0$ **energy transfer in lab**
Invariant mass-squared $W^2 = p'^2 = M^2 + 2p \cdot q + q^2 = M^2 + q^2(1-x)$
Björken- $x = \frac{-q^2 = Q^2}{2p \cdot q = 2M\nu} \in [0; 1]$: **inelasticity** (elastic scattering: $x = 1$)

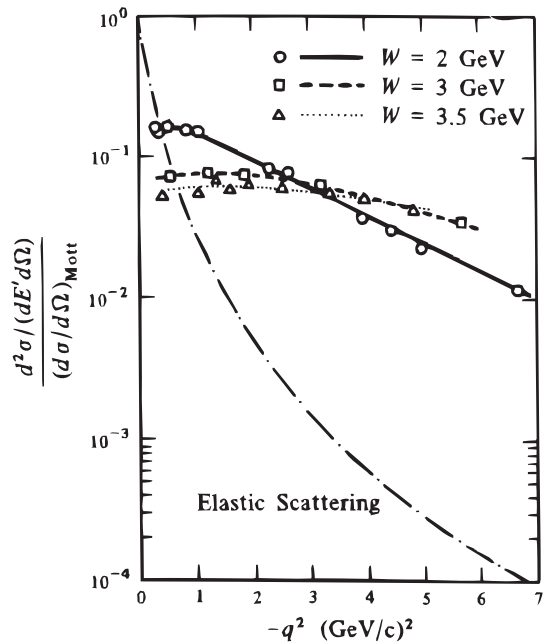
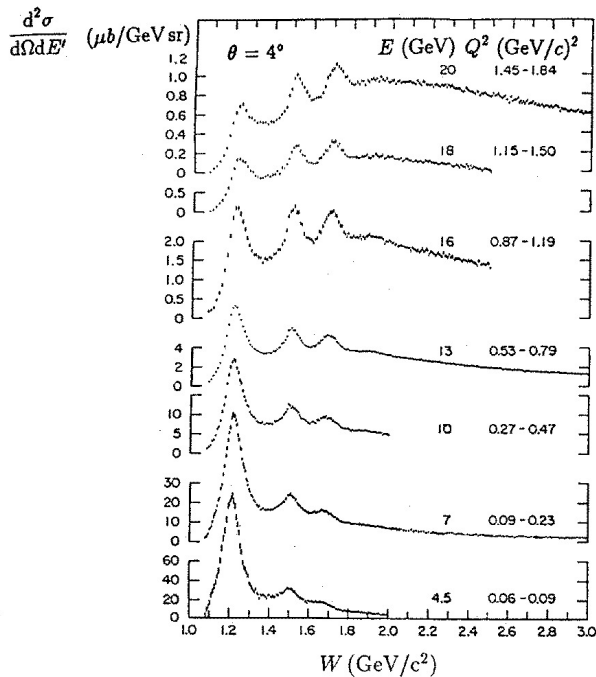
Dimension-less **structure functions** $F_{1,2}(x, Q^2)$
 parametrise most general elmag. hadron ME.



(b) Experimental Evidence

$E, Q^2 \nearrow$: Resonances broaden & disappear into continuum for $W \geq 2.5$ GeV

total
Mott (elastic point) depends only weakly on Q^2 at fixed $W \gg M \Rightarrow$ elastic on point constituents.

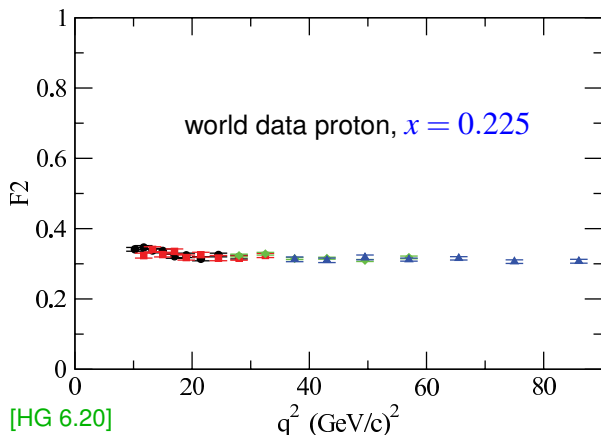


[HG 6.18]

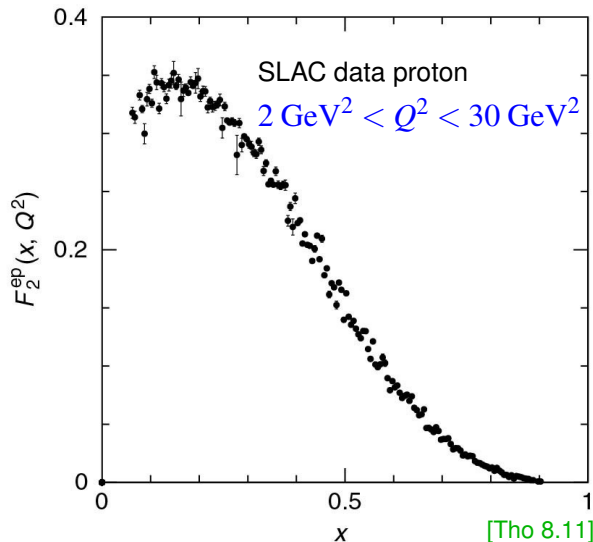
Structure Functions $F_{1,2}$ are Q^2 -Independent at Fixed Björken- x

$$\left. \frac{d^2\sigma}{d\Omega dE'} \right|_{\text{lab}} = \left(\frac{2\alpha}{Q^2} \right)^2 E'^2 \cos^2 \frac{\theta}{2} \left[\frac{F_2(Q^2, x)}{\nu} + \frac{2F_1(Q^2, x)}{M} \tan^2 \frac{\theta}{2} \right] \quad (1.7.6)$$

$F_{1,2}$ dimensionless, $Q^2, \nu \rightarrow \infty$ but $x = \frac{Q^2}{2M\nu}$ fixed: $F_{1,2}$ cannot dep. on Q^2 , only on dimensionless x .



Data: no new scale (e.g. mass, constituent radius)!
back-scattering events $\Rightarrow F_1 \neq 0$: fermions.



\Rightarrow **Interpretation: Virtual photon absorbed by *charged, massless spin-1/2 point-constituents: PARTONS.*** Idea: Björken 1967; name: Feynman 1969; soon identified with Gell-Mann's "quarks" of isospin.

(c) Sequence of Events in the Parton Model

[HM 9, PRSZ]

Scaling: independence of Q^2 at fixed x .

Not a sign of QCD, but only that *no new scale in nucleon: point-constituents*.

Scale-Breaking as sign of "small" interactions between constituents \rightarrow QCD's DGLAP-WW (Part III)

\Rightarrow DIS is *elastic scattering on PARTONS: charged, $m \approx 0$ spin- $\frac{1}{2}$ point-constituents*.

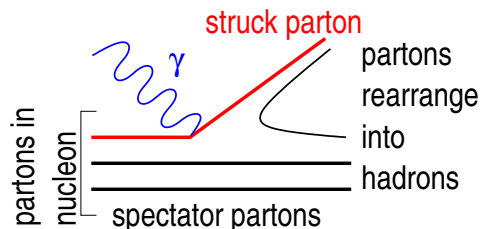
Problem: Partons not in detector \rightarrow Confinement hypothesis (later).

\Rightarrow Assume that collision proceeds in two well-separated stages:

(1) **Parton Scattering:** Timescale in Breit frame:

$$t_{\text{parton}} \approx \frac{\Delta x}{c} \approx \lambda \approx \frac{1}{Q} \ll 0.05 \frac{\text{fm}}{c} \text{ for } Q^2 \gg (4\text{GeV})^2.$$

\Rightarrow Photon interacts with *one* parton near-instantaneously, takes snapshot of parton configuration, frozen in time.



(2) **Hadronisation:** final-state interactions rearrange partons into hadron fragments, covert collision energy into new particles (inelastic!).

$$\text{Much larger timescale } t_{\text{hadronisation}} \approx \frac{1}{\text{typ. hadron mass} \sim 1\text{GeV}} \approx 0.2 \frac{\text{fm}}{c} \gg t_{\text{parton}}.$$

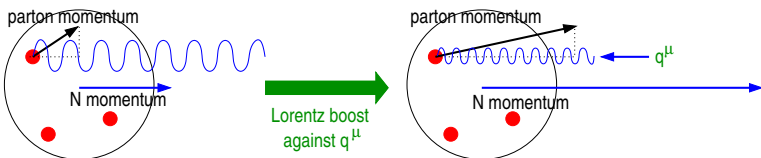
\Rightarrow Describe Scattering and Hadronisation independently of each other, no interference.

(d) Relating Elastic Parton Scattering & Nucleon DIS

What is the Bjørken- x ? – The Infinite Momentum Frame

Problem: Transverse momenta \vec{p}_q^\perp of partons sum to zero, but cannot simply infer them from p^μ !

Solution: Use $W, Q^2 \rightarrow \infty$ to boost along N -momentum axis \vec{p} into **Infinite Momentum Frame IMF**.

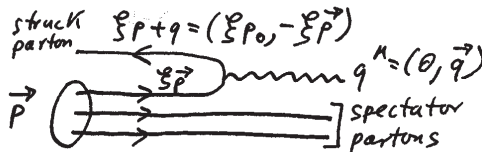


Transverse parton momenta unchanged, but longitudinal now $p_q^\parallel \xrightarrow{\text{boost}} \gamma p_q^\parallel \gg M, |\vec{p}_q^\perp|$.

\Rightarrow Transverse motion time-dilated: **Hadronisation** indeed much slower: rearranging by $\vec{p}_q^\perp \rightarrow 0$.

IMF is also a **Breit/Brick-wall frame**:

\Rightarrow Parton carries momentum fraction $0 \leq \xi \leq 1$ of total nucleon momentum.



Assume Elastic Scattering on Parton: $(\xi p)^2 \stackrel{!}{=} (\xi p + q)^2 \Rightarrow 2\xi p \cdot q + q^2 = 0$; $(\xi p)^2$ cancels.

$$\Rightarrow \xi = \frac{-q^2}{2p \cdot q} = \frac{Q^2}{2Mv} = x:$$

Bjørken- x $\hat{=}$ fraction of hadron momentum which is carried by parton struck by photon in Infinite Momentum Frame IMF.

No, Not That IMF – And Not That IMF Either



International Monetary Fund (IMF)

1000

Compare Elastic and Inelastic Cross Sections more careful: [HM ex. 9.3ff; CL]

Idea: DIS = *incoherent superposition* of elastic scattering in IMF on individual partons.

⇒ Relate **Inelastic** to **Elastic Cross Section** $e\mu \rightarrow e\mu$ on **Point-Fermion** in lab frame:

$$\text{elastic (I.7.4): } \left. \frac{d\sigma}{d\Omega} \right|_{\text{el}} = \left(\frac{Z\alpha}{2E \sin^2 \frac{\theta}{2}} \right)^2 \cos^2 \frac{\theta}{2} \frac{E'}{E} \left[1 + \frac{Q^2}{2M^2} \tan^2 \frac{\theta}{2} \right] \text{ with } E' = \frac{E}{1 + \frac{E}{M}(1 - \cos \theta)}$$

$$\left| \text{use } -Q^2 \equiv q^2 = (k - k')^2 = -2k \cdot k' = -2EE'(1 - \cos \theta) = -4EE' \sin^2 \frac{\theta}{2} \quad m_e \rightarrow 0! \right.$$

$$\Rightarrow \left. \frac{d^2\sigma}{d\Omega dE'} \right|_{\text{el}} = \left(\frac{2Z\alpha E'}{Q^2} \right)^2 \cos^2 \frac{\theta}{2} \frac{E'}{E} \left[1 + \frac{Q^2}{2M^2} \tan^2 \frac{\theta}{2} \right] \delta \left[E' - \frac{E}{1 + \frac{E}{M}(1 - \cos \theta)} \right]$$

Compare Elastic and Inelastic Cross Sections more careful: [HM ex. 9.3ff; CL]

Idea: DIS = *incoherent superposition* of elastic scattering in IMF on individual partons.

⇒ Relate **Inelastic** to **Elastic Cross Section** $e\mu \rightarrow e\mu$ on **Point-Fermion** in lab frame:

$$\text{elastic (1.7.4): } \left. \frac{d^2\sigma}{d\Omega dE'} \right|_{\text{el}} = \left(\frac{2Z\alpha E'}{Q^2} \right)^2 \cos^2 \frac{\theta}{2} \frac{E'}{E} \left[1 + \frac{Q^2}{2M^2} \tan^2 \frac{\theta}{2} \right] \delta \left[E' - \frac{E}{1 + \frac{E}{M}(1 - \cos \theta)} \right]$$

$$\begin{aligned} \left. \text{use } \frac{E'}{E} \delta \left[E' - \frac{E}{1 + \frac{E}{M}(1 - \cos \theta)} \right] \right. &= \underbrace{\frac{E'}{E} \left(1 + \frac{E}{M}(1 - \cos \theta) \right)}_{= 1 \text{ by } \delta\text{-distribution}} \underbrace{\delta \left[E' - E + \frac{EE'}{M}(1 - \cos \theta) \right]}_{= -v} \underbrace{\left(\frac{EE'}{M}(1 - \cos \theta) \right)}_{= Q^2/(2M)} \\ &= \delta \left[v - \frac{Q^2}{2M} \right] = \frac{1}{v} \delta \left[1 - \frac{Q^2}{2Mv} \right] = \frac{1}{v} \delta \left[1 - \frac{Q^2}{2p \cdot q} \right] = \frac{1}{v} \delta [1 - x] \\ &\quad \text{as expected for elastic scattering } \checkmark \end{aligned}$$

$$\Rightarrow \left. \frac{d^2\sigma}{d\Omega dE'} \right|_{\text{el}} = \left(\frac{2\alpha}{Q^2} \right)^2 \cos^2 \frac{\theta}{2} E'^2 Z^2 \left[\frac{1}{v} + \frac{Q^2}{2M^2 v} \tan^2 \frac{\theta}{2} \right] \delta \left[1 - \frac{Q^2}{2p \cdot q} \right]$$

$$\text{inelastic (1.7.6): } \left. \frac{d^2\sigma}{d\Omega dE'} \right|_{\text{inel}} = \left(\frac{2\alpha}{Q^2} \right)^2 \cos^2 \frac{\theta}{2} E'^2 \left[\frac{F_2(Q^2, x)}{v} + \frac{2F_1(Q^2, x)}{M} \tan^2 \frac{\theta}{2} \right] \underbrace{\delta \left[1 - \frac{Q^2}{2p \cdot q} \right]}_{= x}$$

⇒ F_2 of elastic on 1 point-fermion with momentum p only dep. on x : $F_2(Q^2, x) = Z^2 \delta \left[1 - \frac{Q^2}{2p \cdot q} \right]$

Compare Elastic and Inelastic Cross Sections more careful: [HM ex. 9.3ff; CL]

Idea: DIS = *incoherent superposition* of elastic scattering in IMF on individual partons, each with charge Z_q and weighted by its *Parton Distribution Function PDF* $q(\xi)$:
probability density that scattered parton carries momentum fraction $[\xi; \xi + d\xi]$ (in IMF).

$$\text{elastic (1.7.4): } \left. \frac{d^2\sigma}{d\Omega dE'} \right|_{\text{el}} = \left(\frac{2\alpha}{Q^2} \right)^2 \cos^2 \frac{\theta}{2} E'^2 Z^2 \left[\frac{1}{v} + \frac{Q^2}{2M^2 v} \tan^2 \frac{\theta}{2} \right] \delta \left[1 - \frac{Q^2}{2p \cdot q} \right]$$

Compare Elastic and Inelastic Cross Sections more careful: [HM ex. 9.3ff; CL]

Idea: **DIS** = *incoherent superposition* of elastic scattering in IMF on individual partons, each with charge Z_q and weighted by its *Parton Distribution Function PDF* $q(\xi)$:
 probability density that scattered parton carries momentum fraction $[\xi; \xi + d\xi]$ (in IMF).

$$\text{elastic (I.7.4): } \left. \frac{d^2\sigma}{d\Omega dE'} \right|_{\text{el}} = \left(\frac{2\alpha}{Q^2} \right)^2 \cos^2 \frac{\theta}{2} E'^2 Z^2 \left[\frac{1}{v} + \frac{Q^2}{2M^2 v} \tan^2 \frac{\theta}{2} \right] \delta \left[1 - \frac{Q^2}{2p \cdot q} \right]$$

$$\Rightarrow \left. \frac{d^2\sigma(Q^2, x = \frac{Q^2}{2p \cdot q})}{d\Omega dE'} \right|_{\text{inel}} = \int_0^1 d\xi \sum_{\text{all partons } q} q(\xi) \left. \frac{d^2\sigma(\xi p)}{d\Omega dE'} \right|_{\text{elastic on parton}}$$

Sum cross sections, no QM interference.

$$\left| \text{with } \delta \left[1 - \frac{Q^2}{2p \cdot q} \right] \xrightarrow{p \rightarrow \xi p} \delta \left[1 - \frac{Q^2}{2\xi p \cdot q} \right] = \underbrace{\xi \delta \left[\xi - \frac{Q^2}{2p \cdot q} \right]}_{= \delta(\xi - x)} \text{ and } M^2 \equiv p^2 \xrightarrow{p \rightarrow \xi p} (\xi p)^2 = \xi^2 M^2 \right.$$

= $\delta(\xi - x)$: parton momentum must match exp. kinematics

Compare Elastic and Inelastic Cross Sections more careful: [HM ex. 9.3ff; CL]

Idea: **DIS = incoherent superposition** of elastic scattering in IMF on individual partons, each with charge Z_q and weighted by its **Parton Distribution Function PDF** $q(\xi)$:
probability density that scattered parton carries momentum fraction $[\xi; \xi + d\xi]$ (in IMF).

$$\text{elastic (1.7.4): } \left. \frac{d^2\sigma}{d\Omega dE'} \right|_{\text{el}} = \left(\frac{2\alpha}{Q^2} \right)^2 \cos^2 \frac{\theta}{2} E'^2 Z^2 \left[\frac{1}{v} + \frac{Q^2}{2M^2 v} \tan^2 \frac{\theta}{2} \right] \delta \left[1 - \frac{Q^2}{2p \cdot q} \right]$$

$$\Rightarrow \left. \frac{d^2\sigma(Q^2, x = \frac{Q^2}{2p \cdot q})}{d\Omega dE'} \right|_{\text{inel}} = \int_0^1 d\xi \sum_{\text{all partons } q} q(\xi) \left. \frac{d^2\sigma(\xi p)}{d\Omega dE'} \right|_{\text{elastic on parton}}$$

Sum cross sections, no QM interference.

$$\left| \text{with } \delta \left[1 - \frac{Q^2}{2p \cdot q} \right] \xrightarrow{p \rightarrow \xi p} \delta \left[1 - \frac{Q^2}{2\xi p \cdot q} \right] = \xi \underbrace{\delta \left[\xi - \frac{Q^2}{2p \cdot q} \right]}_{= \delta(\xi - x)} \text{ and } M^2 \equiv p^2 \xrightarrow{p \rightarrow \xi p} (\xi p)^2 = \xi^2 M^2 \right.$$

= $\delta(\xi - x)$: parton momentum must match exp. kinematics

$$\left. \frac{d^2\sigma(Q^2, x)}{d\Omega dE'} \right|_{\text{inel}} = \left(\frac{2\alpha}{Q^2} \right)^2 \cos^2 \frac{\theta}{2} E'^2 \int_0^1 d\xi \sum_{\text{all partons } q} Z_q^2 q(\xi) \left[\frac{\xi}{v} + \frac{\xi}{\xi^2} \underbrace{\frac{Q^2}{2M^2 v}}_{= x/M} \tan^2 \frac{\theta}{2} \right] \delta(\xi - x)$$

slaughter δ distribution

$$= \left(\frac{2\alpha}{Q^2} \right)^2 \cos^2 \frac{\theta}{2} E'^2 \times \sum_{\text{all partons } q} Z_q^2 q(x) \left[\frac{x}{v} + \frac{1}{M} \tan^2 \frac{\theta}{2} \right]$$

Structure Functions F_2 and F_1 and Callan-Gross Relation

Idea: DIS = *incoherent superposition* of elastic scattering in IMF on individual partons, each with charge Z_q and weighted by its *Parton Distribution Function PDF* $q(\xi)$: probability that scattered parton carries momentum fraction $[\xi; \xi + d\xi]$ (in IMF).

$$\Rightarrow \left. \frac{d^2\sigma(Q^2, x)}{d\Omega dE'} \right|_{\text{inel}} = \left(\frac{2\alpha}{Q^2} \right)^2 \cos^2 \frac{\theta}{2} E'^2 \sum_{\text{all partons } q} Z_q^2 q(x) \left[\frac{x}{v} + \frac{1}{M} \tan^2 \frac{\theta}{2} \right]$$

compare to $\left. \frac{d^2\sigma}{d\Omega dE'} \right|_{\text{inel}} = \left(\frac{2\alpha}{Q^2} \right)^2 \cos^2 \frac{\theta}{2} E'^2 \left[\frac{F_2(Q^2, x)}{v} + \frac{2 F_1(Q^2, x)}{M} \tan^2 \frac{\theta}{2} \right]$

$$\Rightarrow F_2(Q^2, x) = \sum_{\text{all partons } q} Z_q^2 x q(x) \quad \text{and} \quad F_1(Q^2, x) = \sum_{\text{all partons } q} \frac{1}{2} Z_q^2 q(x)$$

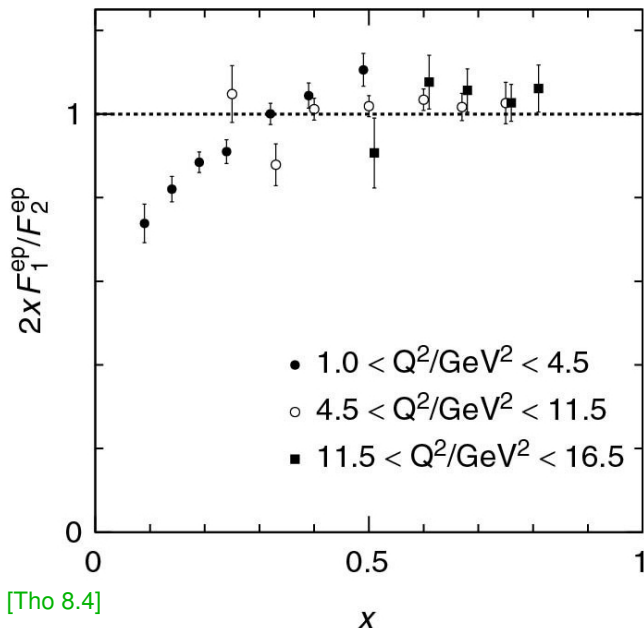
with $F_2(x) = 2x F_1(x)$ **Callan-Gross Relation**

All Q^2 -independent and just result of incoherent scattering on point-fermions.

Each parton q has its own charge Z_q and Parton Distribution Function $q(x)$: $Z_u, u(x)$; $Z_d, d(x)$; ...

Aside: Resist temptation to interpret $(\xi p)^2 = \xi^2 M^2$ as parton mass: depends on x , i.e. on kinematics!

Callan-Gross: Evidence for Point-Fermions in Nucleon



Expect for DIS ($Q^2, W^2 \rightarrow \infty, x$ fixed finite):

Callan-Gross Relation $2xF_1(x) = F_2(x)$

with $F_2(x) = x \sum_q Z_q^2 q(x)$

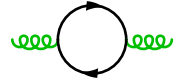
just from scattering on point-fermions.

Experimentally verified; corrections from QCD.

(e) Constituents of the Nucleon in the Parton Model

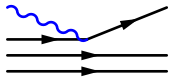
Quarks probability distrib. $u(x), d(x), s(x), \dots$ of quark flavour with momentum fraction x (in IMF).

Antiquarks with PDFs $\bar{u}(x), \dots$ only via vacuum fluctuations: virtual $q\bar{q}$ pairs.



Neutral Partons gluon PDF $g(x)$ carries momentum, spin, angular momentum,...

\implies **Valence Quarks** $q_v(x) := q(x) - \bar{q}(x)$ cannot disappear. \implies Follow initial quarks to detector.



Valence quarks carry *some (not all)* nucleon properties: baryon number, charge.
(still: these are *not the constituent quarks!*)

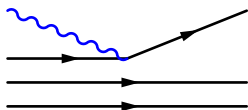
$$\text{norm: } \int_0^1 dx [u^N(x) - \bar{u}^N(x)] = \int_0^1 dx u_v^N(x) = \begin{cases} 2 \text{ in proton (uud)} \\ 1 \text{ in neutron (ddu)} \end{cases}; \int_0^1 dx d_v^N(x) = \begin{cases} 1 \text{ in p (uud)} \\ 2 \text{ in n (ddu)} \end{cases}$$

\implies **Sea Quarks** $\bar{q}_s(x) = \bar{q}(x)$, $q_s(x) = q(x) - q_v(x)$: All that is not valence.

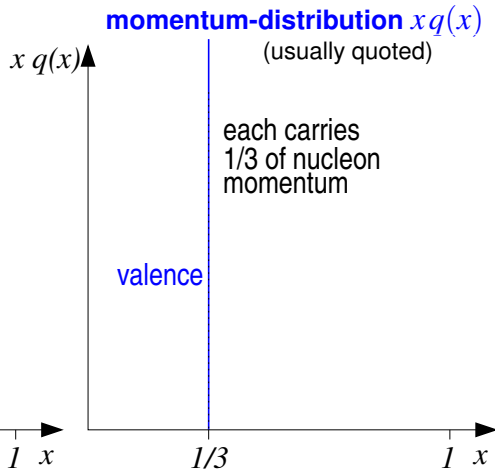
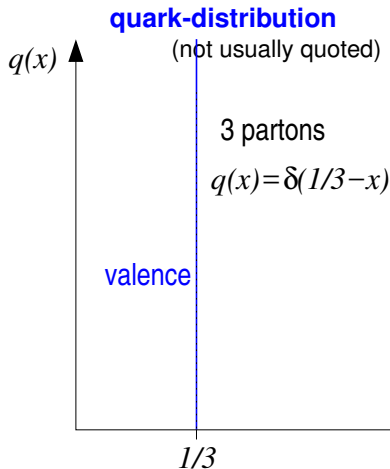
$$\text{sea created in } q\bar{q} \text{ pairs } \implies q_s(x) \neq \bar{q}_s(x), \text{ but norm: } \int_0^1 dx [q_s(x) - \bar{q}_s(x)] = 0 = \int_0^1 dx \text{ sea}(x)$$

$$\begin{aligned} \implies \frac{1}{x} F_2^N(x) &= \sum_q Z_q^2 q^N(x) = \frac{4}{9} [u^N(x) + \bar{u}^N(x)] + \frac{1}{9} [d^N(x) + \bar{d}^N(x) + s^N(x) + \bar{s}^N(x)] + \dots + 0g(x) \\ &= \underbrace{\frac{4}{9} u_v^N(x) + \frac{1}{9} d_v^N(x)}_{\text{valence contribution}} + \underbrace{\frac{4}{9} [u_s^N(x) + \bar{u}_s^N(x)] + \frac{1}{9} [d_s^N(x) + \bar{d}_s^N(x) + s_s^N(x) + \bar{s}_s^N(x)]}_{\text{sea contribution sea}(x)} + \dots \end{aligned}$$

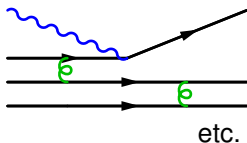
What PDFs to Expect – QUALITATIVELY!



only constituents:
3 non-interacting
valence quarks
(constituent-q picture)

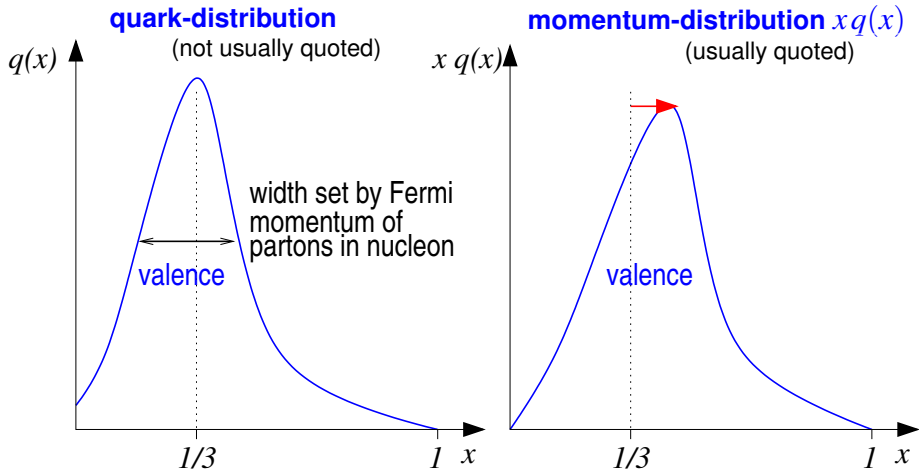


What PDFs to Expect – QUALITATIVELY!



Add **instantaneous interactions**:

distribute
momentum & energy



Fermi motion broadens peak

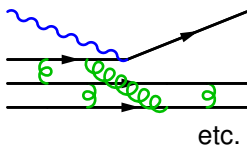
Maximum of momentum distribution x_{\max} shifted to *right*:

$$\frac{d}{dx} [x_{\max} q(x_{\max})] = q(x_{\max}) + x_{\max} \underbrace{\frac{dq(x_{\max})}{dx}}_{=0} = q(x_{\max}) > 0$$

But all momentum
still carried by
valence quarks.

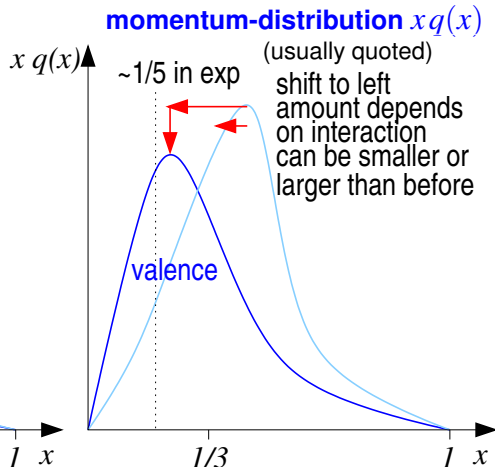
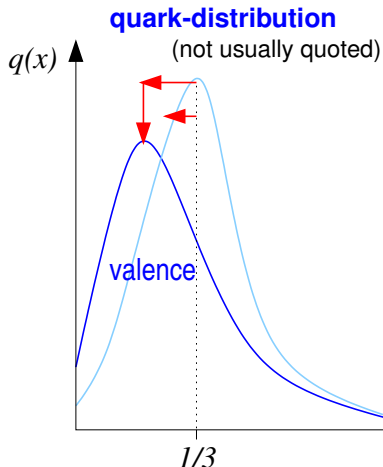
Momentum integral still $\sum_q \int_0^1 dx xq(x) = 1$.

What PDFs to Expect – QUALITATIVELY!



Add **any interactions**:
 distribute momentum & energy to partons without charge (**gluons**)

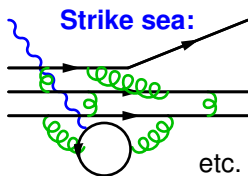
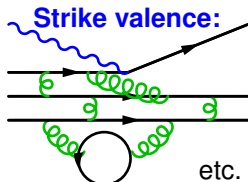
Momentum carried by valence quarks decreases.



All maxima shifted to **left** – how much depends on **interactions**.

Still $\int q(x) = 1(2)$ but momentum int. now smaller: $\sum_q \int_0^1 dx x q(x) < 1.$

What PDFs to Expect – QUALITATIVELY!

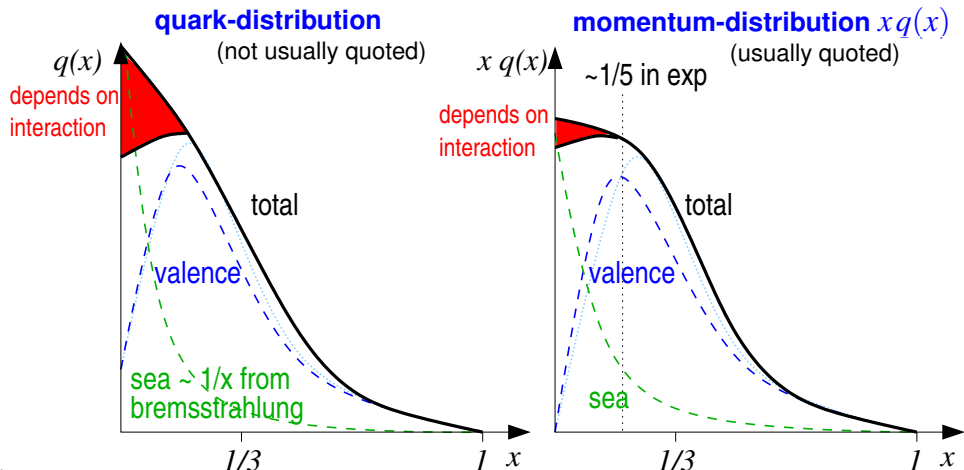


Add $q\bar{q}$ sea:

Take momentum away again from valence and couple to photon.

⇒ most likely for small $x \hat{=}$ small ξp

Momentum integral even smaller.



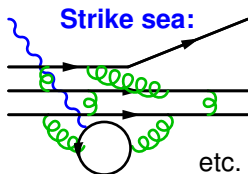
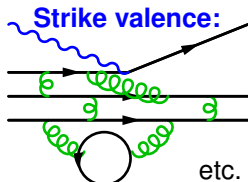
Maxima again shifted to right – how much depends on interactions.

Expect **bremsstrahlung-like** spectrum $\sim \frac{1}{x}$ for **sea**, adds to **valence**.

⇒ For $x \rightarrow 0$: $q(x)$ diverges, but mom. distribution $xq(x)$ **nonzero**.

⇒ **Small- x region particularly interesting to probe interactions with and between neutral constituents (glue).**

What PDFs to Expect – QUALITATIVELY!

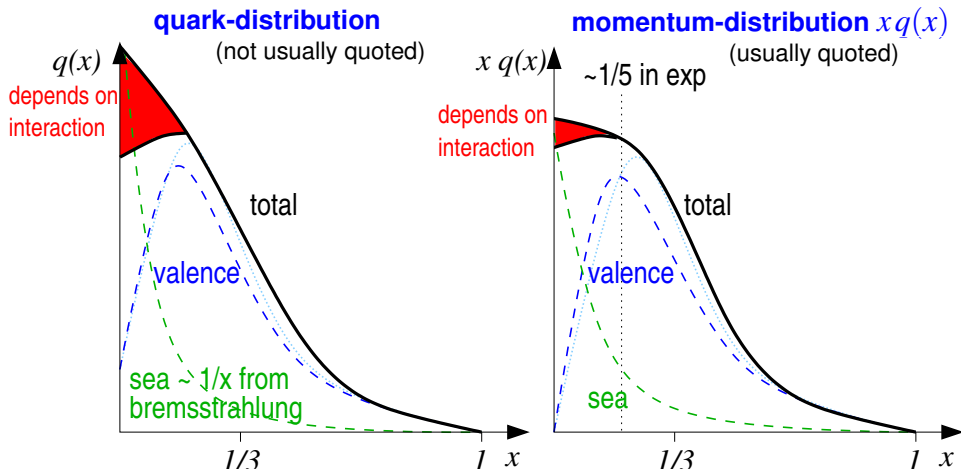


Add $q\bar{q}$ sea:

Take momentum away again from valence and couple to photon.

⇒ most likely for small $x \hat{=}$ small ξp

Momentum integral even smaller.



Maxima again shifted to right – how much depends on interactions.

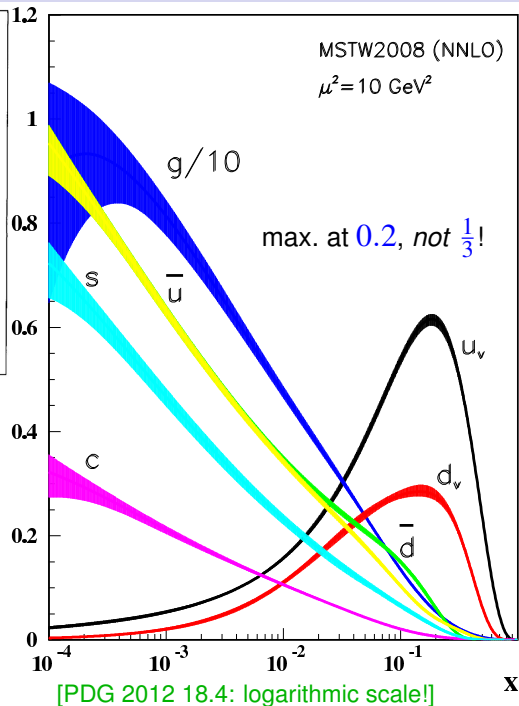
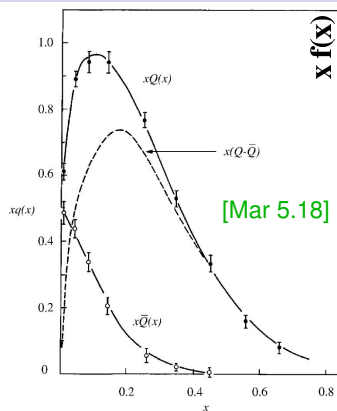
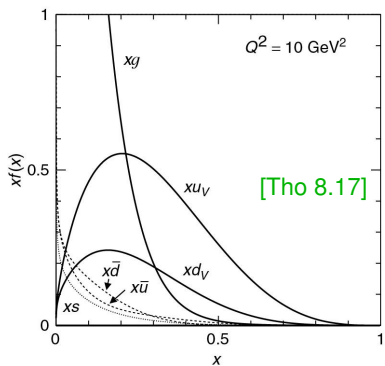
Expect **bremsstrahlung-like** spectrum $\sim \frac{1}{x}$ for **sea**, adds to **valence**.

⇒ For $x \rightarrow 0$: $q(x)$ diverges, but mom. distribution $xq(x)$ **nonzero**.

⇒ **Small- x region particularly interesting to probe interactions with and between neutral constituents (glue).**

One cannot get the number of valence quarks from any peak position. Books like [HM fig. 9.7, Per 5.8, Tho fig. 8.9] are **WRONG!!**

(f) What DIS Tells Us About Nucleon Structure: $xq(x)$



- Valence quarks dominate as $x \gtrsim 0.5$
- Sea dominates for $x \rightarrow 0$: bremsstrahlung
- Peaks of F_2 and $q(x)$ not at $\frac{1}{3}$ but 0.17 & 0.2.
- ⇒ Interactions in nucleon, neutral constituents.

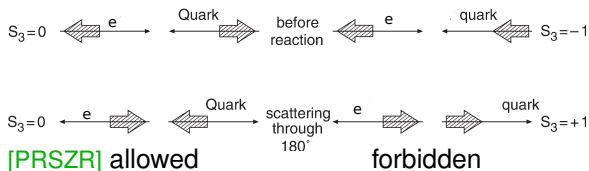
Sum Rules: e.g. momentum: $\int_0^1 dx x [g(x) + \sum_q q(x)] = 1$

observable	valence	sea	gluons	other	total
nucleon momentum	31%	17%	52%	—	100%
nucleon spin	[30...50]%	~ 0%?	lots%	orbital ang mom.	100% (fake) "spin crisis"

How To Dis-Entangle Parton Distributions

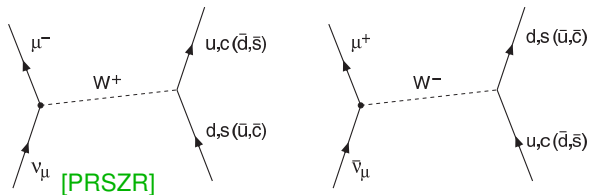
(1) **By target:** p vs. n (deuteron), ^3H etc. \implies PDFs inside proton vs. neutron etc.

(2) **By helicity:** polarised beam & ejectile: $\vec{e}N \rightarrow \vec{e}X$ via virt. γ **selects parton helicity/spin**



(3) **By neutrinos:** $\nu N \rightarrow e^- X$, $\bar{\nu} N \rightarrow e^+ X$, $\nu N \rightarrow \nu X$, $\bar{\nu} N \rightarrow \bar{\nu} X$: already 100% polarised helicity weak int. \implies **different linear combinations of $q(x)$ and $\bar{q}(x)$, selects quark flavour & helicity**

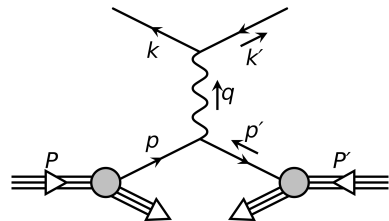
Trick: use “invisible” neutrino beam, detect muons (no neutrals!)



(4) **By “Drell-Yan process”:** use strong interactions in $NN \rightarrow X$
 \implies can now see glue $g(x)$ directly, and $q(x), \bar{q}(x)$.

Gluon PDFs: integrals from sum rules,

e.g. momentum $\int dx x g(x) = 1 - \sum_q \int dx x q(x)$.



Isospin: Proton uud vs. Neutron $ddu \implies u^p(x) \stackrel{?}{=} d^n(x), d^p(x) \stackrel{?}{=} u^n(x)$

$$\implies u(x) := u^p(x) = d^n(x)$$

$$d(x) := d^p(x) = u^n(x)$$

$$\frac{F_2^n(x)}{F_2^p(x)} = \frac{4d_v + u_v + \text{sea}^n}{d_v + 4u_v + \text{sea}^p}$$

Low x : sea dominates, isospin-symmetric $\implies \frac{F_2^n}{F_2^p} \approx \frac{\text{sea}^n}{\text{sea}^p} \rightarrow 1 \checkmark \text{ exp.}$

High x : valence dominates; data: $\implies \frac{F_2^n}{F_2^p} \approx \frac{4d_v + u_v}{4u_v + d_v} \rightarrow \frac{1}{4} \text{ in exp.}$

explained if u_v carries more momentum than $d_v \leftrightarrow p = (uud)$ (not Coulomb!)

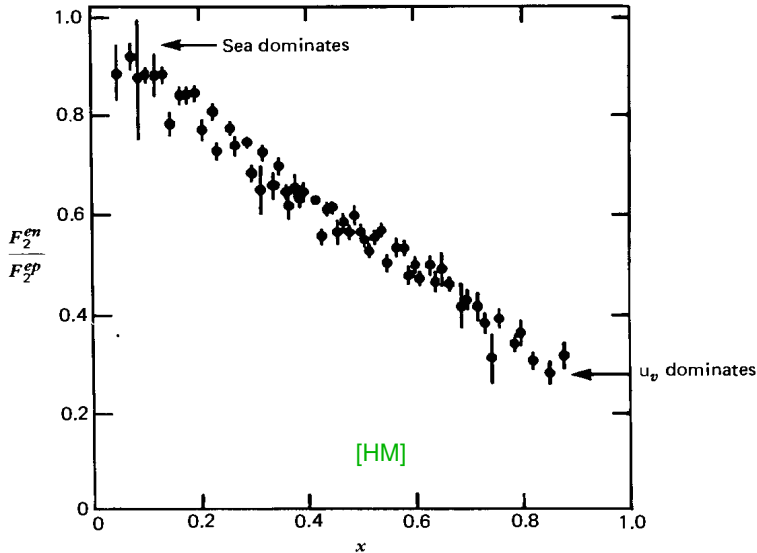
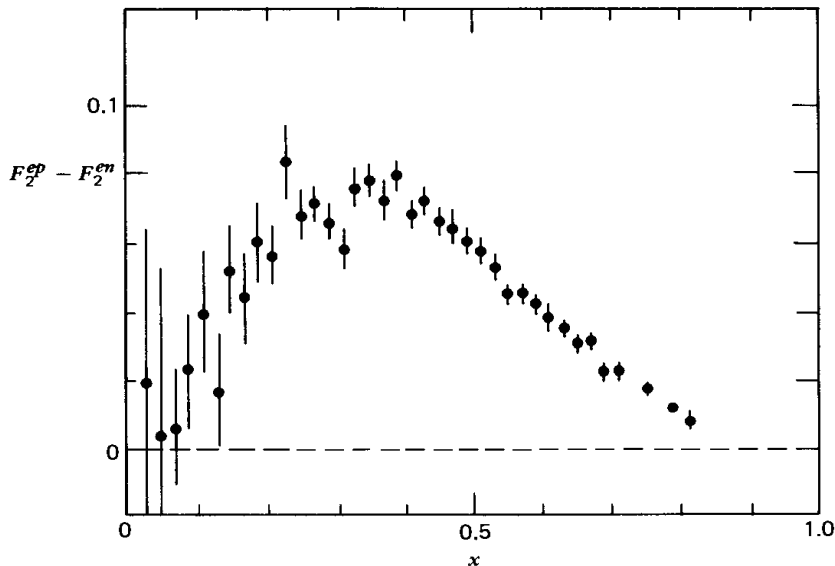


Fig. 9.6 The ratio F_2^{en}/F_2^{ep} as a function of x , measured in deep inelastic scattering. Data are from the Stanford Linear Accelerator.

Another Sum Rule: $\frac{1}{x}[F_2^p(x) - F_2^n(x)] = \frac{1}{3}[u_v - d_v] + [\text{sea}^p - \text{sea}^n]$

Sea on average **not quite** isospin-symmetric (except at very low x).

Gottfried Sum Rule $\int_0^1 \frac{dx}{x} [F_2^p(x) - F_2^n(x)] = \frac{1}{3} + \int_0^1 dx [\text{sea}^p - \text{sea}^n]$



Next Muon Collab. (CERN)
at $Q^2 = (2\text{GeV})^2$:

$$G\Sigma R = [0.228 \pm 0.007]$$

$$\Rightarrow \int_0^1 dx [\bar{d} - \bar{u}] \approx 0.16$$

(assumes $s \approx \bar{s} \approx 0$)

\Rightarrow **Light sea on average not flavour-symmetric: $\bar{u} < \bar{d}$!**

\rightarrow Meson Cloud Model...

Fig. 9.8 The difference $F_2^{ep} - F_2^{en}$ as a function of x , as measured in deep inelastic scattering. Data are from the Stanford Linear Accelerator. [HM]



(g) PDFs in Nuclei: The EMC Effect

EMC collaboration 1983
[PRSZR 8.5]

Regions where sea dominates:

$x \lesssim 0.06$: F_2^A significantly smaller than free

$0.06 \lesssim x \lesssim 0.3$: F_2^A slightly larger than free

Effects increase with A .

Regions where valence dominates:

$0.3 \lesssim x \lesssim 0.8$: F_2^A slightly smaller than free;
minimum at $x \approx 0.65$

\Rightarrow avg. momentum of bound partons smaller;
invest momentum in binding (gluons)?

$x \gtrsim 0.8$: $F_2^A \nearrow 1$: individual N

$x > 1$ possible: suck momentum from other N .

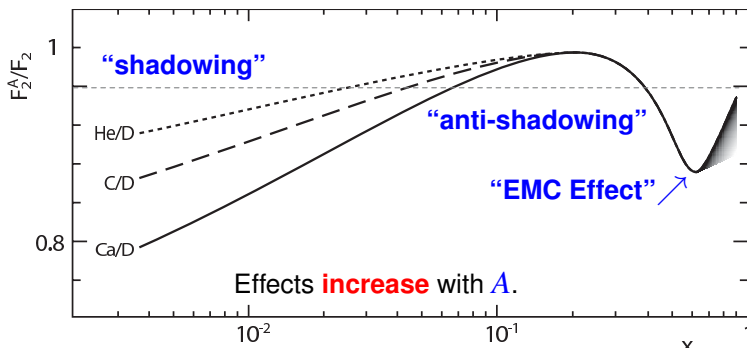


Fig. 7.8. Ratio of the structure functions F_2 of lithium, oxygen, and calcium over deuterium as a function of x [Ar88, Go94b, Am95]. [PRSZ, 6th ed.]

No Established Explanation Yet:

Multi-quark cluster?

qq -int. across nucleon boundaries?

$x \rightarrow 0$: resolution $\gtrsim \frac{1}{xp}$ bad \Rightarrow

“Overcrowding”: nucleons
in nucleus share sea?

“Nuclear Shadowing”: low- x photons
react with surface by virtual mesons?

(h) Generalising Parton Distributions

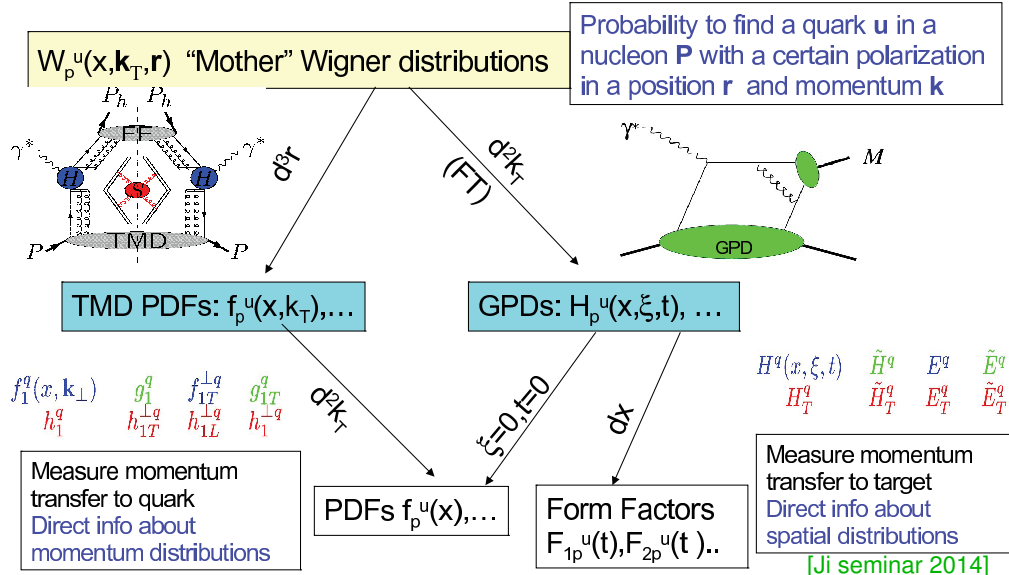
PDFs: $\vec{p}_{\text{parton}} = x\vec{p}$, transverse parton momentum negligible $\implies q(x, Q^2)$

\rightarrow **Extension:** add impact $b_q \leftrightarrow \vec{p}_{q\perp}$, q - & N -spin orientations, mom. transfer, ...

\implies Most general parametrisation: over 20 functions, each with more than 5 parameters:

Wigner Distributions, including **Transverse Momentum Distributions TMDs**
and **Generalised Parton Distributions GPDs**: fun for years to come...

Challenging; co-motivation for Jlab upgrade: many parameters, many functions, small effects.

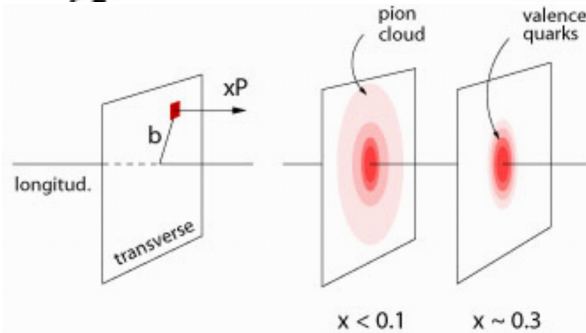
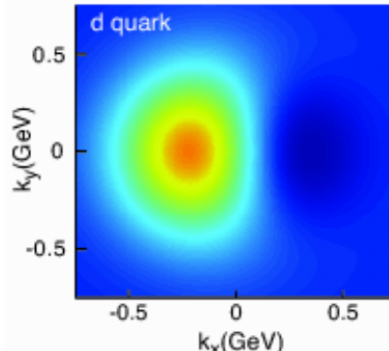
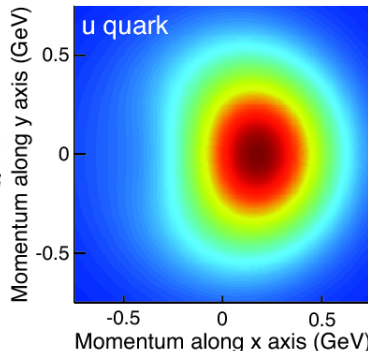
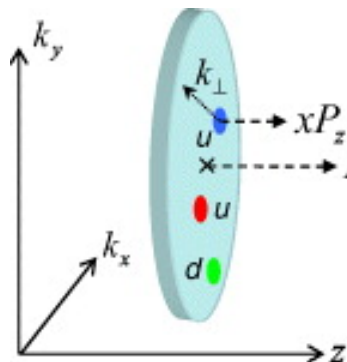


Example Transverse Momentum Distributions TMDs

Add impact parameter $b_q \leftrightarrow \vec{p}_{q\perp}$ transverse quark momentum.

Interpretation: snapshot of quark distribution $q(x, b, Q^2)$ in nucleon **perpendicular** to \vec{p} . [Burkhardt 04]

“Nucleon Tomography”



Next: 5. Quarks in e^+e^- Annihilation

Familiarise yourself with: [PRSZR 9.1/3; PRSZR 15/16 (cursorily); HG 10.9, 15.1-7; HM 11.1-3; Tho 9.6]

Alt: Compare Elastic/Inelastic Cross Sections more careful: [HM ex. 9.3ff; CL]

Idea: DIS = *incoherent superposition* of elastic scattering on individual partons in IMF.

⇒ Relate **Inelastic** to **Elastic Cross Section** $e\mu \rightarrow e\mu$ on Point-Fermion in lab frame:

$$\text{inelastic (1.7.6): } \left. \frac{d^2\sigma}{d\Omega dE'} \right|_{\text{inel}} = \left(\frac{2\alpha}{q^2} \right)^2 \cos^2 \frac{\theta}{2} E'^2 \left[\frac{F_2(Q^2, x)}{\nu} + \frac{2 F_1(Q^2, x)}{M} \tan^2 \frac{\theta}{2} \right]$$

$$\text{elastic (1.7.4): } \left. \frac{d^2\sigma}{d\Omega dE'} \right|_{\text{el}} = \left(\frac{2Z\alpha E'}{q^2} \right)^2 \cos^2 \frac{\theta}{2} \frac{E'}{E} \left[1 - \frac{q^2}{2M^2} \tan^2 \frac{\theta}{2} \right] \delta \left[E' - \frac{E}{1 + \frac{E}{M}(1 - \cos \theta)} \right]$$

$$\left. \begin{aligned} \text{use } \frac{E'}{E} \delta \left[E' - \frac{E}{1 + \frac{E}{M}(1 - \cos \theta)} \right] &= \frac{E'}{E} \underbrace{\left(1 + \frac{E}{M}(1 - \cos \theta) \right)}_{= 1 \text{ by } \delta\text{-distribution}} \delta \left[E' - E + \frac{EE'}{M}(1 - \cos \theta) \right] \\ &= \delta \left[\nu + \frac{q^2}{2M} \right] = \frac{1}{\nu} \delta \left[1 + \frac{q^2}{2M\nu} \right] = \frac{1}{\nu} \delta \left[1 + \frac{q^2}{2p \cdot q} \right] = \frac{1}{\nu} \delta [1 - x] \\ &\qquad\qquad\qquad \text{expected for elastic scattering } \checkmark \end{aligned} \right|$$

$$\Rightarrow \left. \frac{d^2\sigma}{d\Omega dE'} \right|_{\text{el}} = \left(\frac{2Z\alpha}{q^2} \right)^2 \cos^2 \frac{\theta}{2} E'^2 \left[\frac{1}{\nu} - \frac{q^2}{2M^2\nu} \tan^2 \frac{\theta}{2} \right] \delta \left[1 + \frac{q^2}{2p \cdot q} \right] \quad \underbrace{\qquad\qquad\qquad}_{= -x}$$

⇒ F_2 of elastic on 1 point-fermion with momentum p only dep. on x : $F_2(Q^2, x) = Z^2 \delta \left[1 + \frac{q^2}{2p \cdot q} \right]$

Alt: Compare Elastic/Inelastic Cross Sections more careful: [HM ex. 9.3ff; CL]

Idea: DIS = *incoherent superposition* of elastic scattering on individual partons in IMF.

⇒ Relate **Inelastic** to **Elastic Cross Section** $e\mu \rightarrow e\mu$ on **Point-Fermion** in lab frame:

$$\text{inelastic (1.7.6): } \left. \frac{d^2\sigma}{d\Omega dE'} \right|_{\text{inel}} = \left(\frac{2\alpha}{q^2} \right)^2 \cos^2 \frac{\theta}{2} E'^2 \left[\frac{F_2(Q^2, x)}{\nu} + \frac{2 F_1(Q^2, x)}{M} \tan^2 \frac{\theta}{2} \right]$$

$$\text{elastic (1.7.4): } \left. \frac{d^2\sigma}{d\Omega dE'} \right|_{\text{el}} = \left(\frac{2Z\alpha}{q^2} \right)^2 \cos^2 \frac{\theta}{2} E'^2 \left[\frac{1}{\nu} - \frac{q^2}{2M^2\nu} \tan^2 \frac{\theta}{2} \right] \delta \left[1 + \frac{q^2}{2p \cdot q} \right]$$

Alt: Compare Elastic/Inelastic Cross Sections more careful: [HM ex. 9.3ff; CL]

Idea: DIS = *incoherent superposition* of elastic scattering on individual partons in IMF.

⇒ Relate **Inelastic** to **Elastic Cross Section** $e\mu \rightarrow e\mu$ on **Point-Fermion** in lab frame:

$$\text{inelastic (1.7.6): } \left. \frac{d^2\sigma}{d\Omega dE'} \right|_{\text{inel}} = \left(\frac{2\alpha}{q^2} \right)^2 \cos^2 \frac{\theta}{2} E'^2 \left[\frac{F_2(Q^2, x)}{\nu} + \frac{2 F_1(Q^2, x)}{M} \tan^2 \frac{\theta}{2} \right]$$

$$\text{elastic (1.7.4): } \left. \frac{d^2\sigma}{d\Omega dE'} \right|_{\text{el}} = \left(\frac{2Z\alpha}{q^2} \right)^2 \cos^2 \frac{\theta}{2} E'^2 \left[\frac{1}{\nu} - \frac{q^2}{2M^2\nu} \tan^2 \frac{\theta}{2} \right] \delta \left[1 + \frac{q^2}{2p \cdot q} \right]$$

Now incoherent, elastic scattering on individual partons with charges Z_q , each weighted by *Parton Distribution Function PDF* $q(\xi)$: probability parton has mom. fraction $[\xi; \xi + d\xi]$ (in IMF).

$$F_2(Q^2, x) = \underbrace{\int_0^1 d\xi}_{\text{all parton momenta}} \sum_{\text{all partons } q} Z_q^2 \underbrace{q(\xi)}_{\text{PDF}} \delta \left[1 + \frac{q^2}{2\xi p \cdot q} \right] = \int_0^1 d\xi \sum_{\text{all partons } q} Z_q^2 \xi q(\xi) \underbrace{\delta \left[\xi + \frac{q^2}{2p \cdot q} \right]}_{\substack{= \delta(\xi - x) \\ \text{momentum} \\ \text{must match} \\ \text{exp. kinematics}}}$$

$$\Rightarrow F_2(Q^2, x) = \sum_{\text{all partons } q} Z_q^2 x q(x)$$

Alt: Callan-Gross Relation Between Structure Functions F_2 and F_1

Idea: DIS = *incoherent superposition* of elastic scattering on individual partons in IMF.

$$\Rightarrow \left. \frac{d^2\sigma(Q^2, x = \frac{-q^2}{2p \cdot q})}{d\Omega dE'} \right|_{\text{inel}} = \int_0^1 d\xi \sum_{\text{all partons } q} q(\xi) \left. \frac{d\sigma(\xi p)}{d\Omega} \right|_{\text{elastic on parton}} \quad \text{Sum cross sections, no QM interference.}$$

Compare Hadronic Tensors:

$$|\overline{\mathcal{M}}|^2 \propto L_{\mu\nu} W^{\mu\nu} = L_{\mu\nu} \int_0^1 d\xi \sum_{\text{all partons } q} w_{\text{el. spin } \frac{1}{2}}^{\mu\nu}(\xi p) q(\xi)$$

$$\text{inel.: } W_{\text{inel}}^{\mu\nu}(q^2, x) = \frac{F_1(q^2, x)}{M} \left[\frac{q^\mu q^\nu}{q^2} - g^{\mu\nu} \right] + \frac{F_2(q^2, x)}{M^2 \nu} \left[p^\mu - \frac{p \cdot q}{q^2} q^\mu \right] \left[p^\nu - \frac{p \cdot q}{q^2} q^\nu \right] \quad (\text{I.7.6W})$$

$$\text{elastic: } w_{\text{el. spin } \frac{1}{2}}^{\mu\nu}(p) = 2Z^2 \left[p^\mu (p+q)^\nu + (p+q)^\mu p^\nu - g^{\mu\nu} p \cdot q \right] \delta \left[1 + \underbrace{\frac{q^2}{2p \cdot q}}_{=-x} \right] \quad (\text{I.7.4W})$$

use $q^\mu L_{\mu\nu} = q^\nu L_{\mu\nu} = 0$ to drop terms $\propto q^\mu, q^\nu$

$$\text{inelastic: } W_{\text{inel}}^{\mu\nu}(q^2, x) \rightarrow \frac{F_2(q^2, x)}{M^2 \nu} p^\mu p^\nu - \frac{F_1(q^2, x)}{M} g^{\mu\nu} + (q^\mu, q^\nu)\text{-terms}$$

$$\text{elastic on parton: } w_{\text{el. spin } \frac{1}{2}}^{\mu\nu}(\xi p) \rightarrow 2Z^2 \left[2 \xi p^\mu \xi p^\nu - g^{\mu\nu} \xi p \cdot q \right] \delta \left[1 + \frac{q^2}{2\xi p \cdot q} \right] + (q^\mu, q^\nu)$$

Alt: Callan-Gross Relation Between Structure Functions F_2 and F_1

Idea: DIS = *incoherent superposition* of elastic scattering on individual partons in IMF.

$$\Rightarrow \left. \frac{d^2\sigma(Q^2, x = \frac{-q^2}{2p \cdot q})}{d\Omega dE'} \right|_{\text{inel}} = \int_0^1 d\xi \sum_{\text{all partons } q} q(\xi) \left. \frac{d\sigma(\xi p)}{d\Omega} \right|_{\text{elastic on parton}}$$

Sum cross sections, no QM interference.

Compare Hadronic Tensors:

$$|\overline{\mathcal{M}}|^2 \propto L_{\mu\nu} W^{\mu\nu} = L_{\mu\nu} \int_0^1 d\xi \sum_{\text{all partons } q} w_{\text{el. spin } \frac{1}{2}}^{\mu\nu}(\xi p) q(\xi)$$

inelastic:
$$W_{\text{inel}}^{\mu\nu}(q^2, x) \rightarrow \frac{F_2(q^2, x)}{M^2 v} p^\mu p^\nu - \frac{F_1(q^2, x)}{M} g^{\mu\nu} + (q^\mu, q^\nu)\text{-terms} \quad (1.7.6W)$$

elastic on **parton**:
$$w_{\text{el. spin } \frac{1}{2}}^{\mu\nu}(\xi p) \rightarrow 2Z^2 [2 \xi p^\mu \xi p^\nu - g^{\mu\nu} \xi p \cdot q] \delta[1 + \frac{q^2}{2\xi p \cdot q}] + (q^\mu, q^\nu)$$

Different mass-dimensions in $W^{\mu\nu}$ and $w^{\mu\nu} \Rightarrow$ cannot compare directly, but **ratios** must match:

$$\frac{p^\mu p^\nu\text{-term}}{g^{\mu\nu}\text{-term}} : \frac{2\xi}{p \cdot q} \stackrel{!}{=} \frac{F_2}{(Mv = p \cdot q)} \frac{1}{F_1} \Rightarrow$$

**Callan-Gross Relation $F_2(x) = 2x F_1(x)$
Just result of scattering on point-fermions.**

$$\Rightarrow F_2(Q^2, x) = \sum_{\text{all partons } q} Z_q^2 x q(x) \quad \text{and} \quad F_1(Q^2, x) = \sum_{\text{all partons } q} \frac{1}{2} Z_q^2 q(x)$$